

PRIVACY NOTICE FOR LEGACY ADVISORS, LLC



At Legacy Advisors, LLC, we understand and appreciate our clients' concerns about the confidentiality and security of information we obtain from them. This Notice describes the steps we have taken to safeguard your information. When you choose to become a client of Legacy, you are required to give us certain personal information in order to open your account. We pledge our commitment to maintain the confidentiality of this information, and to share it only in a manner in accordance with the law. This Privacy Policy applies to both our current and former clients.

How we protect and maintain your personal information.

Legacy maintains physical, electronic, and procedural safeguards that comply with federal standards to protect a client's nonpublic personal information. Through this policy and its underlying procedures, Legacy attempts to secure the confidentiality of customer records and information and protect against anticipated threats or hazards to the security or integrity of customer records and information.

It is the policy of Legacy to restrict access to all current and former clients' information (i.e., information and records pertaining to personal background, investment objectives, financial situation, tax information/returns, investment holdings, account numbers, account balances, etc.) to those employees and affiliated/nonaffiliated entities who need to know that information in order to provide products or services in furtherance of your engagement with us.

In that regard, Legacy may disclose information: (1) to individuals and/or entities not affiliated with Legacy, including, but not limited to other professional advisors and/or certain service providers that may be recommended or engaged by Legacy (i.e., attorney, accountant, insurance agent, broker-dealer, investment adviser, account custodian, record keeper, etc.); (2) required to do so by judicial or regulatory process; or (3) otherwise permitted to do so in accordance with the parameters of applicable federal and/or state privacy regulations. The disclosure of information contained in any document completed by a client for processing and/or transmittal by Legacy to facilitate the commencement/continuation/termination of a business relationship between a client and/or between Legacy and a nonaffiliated third party service provider (i.e., broker-dealer, investment adviser, account custodian, record keeper, insurance company, etc.), including, but not limited to, information contained in any document completed and/or executed by a client in furtherance of the client's engagement of Legacy (i.e., advisory agreement, client information form, etc.), shall be deemed as having been automatically authorized by the client with respect to the corresponding nonaffiliated third party service provider.

Legacy permits only authorized employees and affiliates access to client information. Additionally, whenever Legacy hires other organizations to provide services to Legacy's clients, Legacy will require them to sign a confidentiality agreement.

Should you have any questions regarding the above, please contact James McCallister, Chief Compliance Officer.

Updates to our Privacy Policy

Legacy Advisors may amend our privacy policy periodically. When changes are made, you will receive a letter of notice.

How to contact us

If you have any questions regarding our privacy policy, please contact us:
P: 610-943-3000
E: info@legacyadvice.com

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